**REPORT OF THE AD HOC EXPERT COMMITTEE**

**ON THE FULFILMENT OF THE STANDARDS AND CRITERIA OF THE FIELD OF HABILITATION PROCEEDING AND INAUGURATION PROCEEDING**

**Name of the higher education institution:** *University of Presov*

**Name of the faculty:** *select an option*

**Report submitted by:** *specify the person responsible for the study programme*

**Name of the field of habilitation and inauguration proceeding:** *specify*

**Name of the study field(s), to which the field of habilitation proceeding and inauguration proceeding is assigned:** *specify*

**Name of the study programmes (3rd degree of study), to which the field of habilitation proceeding and inauguration proceeding is assigned:** *specify*

**Name of the study programmes (2nd degree of study), to which the field of habilitation proceeding and inauguration proceeding is assigned:** *specify*

**Ad Hoc Expert Committee Opinion** *– (add assigned identification code of the AHEC)*

**Ad Hoc Expert Committee confirm**

[ ]  the fulfilment of standards and criteria of the field of habilitation proceeding and inauguration proceeding without shortcomings and recommends to the IQS Board of UP to approve the aligned field of habilitation proceeding and inauguration proceeding.

[ ]  the fulfilment of standards and criteria of the field of habilitation proceeding and inauguration proceeding with shortcomings and recommends to the IQS Board of UP to approve the aligned field of habilitation proceeding and inauguration proceeding after elimination of shortcomings.

[ ]  the non-fulfilment of standards and criteria of the field of habilitation proceeding and inauguration proceeding and recommends to the IQS Board of UP not to approve the aligned field of habilitation proceeding and inauguration proceeding.

Reasons why the Ad Hoc Expert Committee did not confirm the fulfilment of the standards and criteria of the field of habilitation proceeding and inauguration proceeding:

**Voting of the Ad Hoc Expert Committee**

Date of vote:

Number of participating members:

Number of non-participating members:

For proposal:

Against the proposal:

Abstention:

Date:

  ..........................................................................

 signature of the Chairperson of the Ad Hoc Expert Committee

**Group of Fields of Studies Opinion**

**Group of Fields of Studies confirm**

[ ]  the fulfilment of standards and criteria of the field of habilitation proceeding and inauguration proceeding without shortcomings and recommends to the IQS Board of UP to approve the aligned field of habilitation proceeding and inauguration proceeding.

[ ]  the fulfilment of standards and criteria of the field of habilitation proceeding and inauguration proceeding with shortcomings and recommends to the IQS Board of UP to approve the aligned field of habilitation proceeding and inauguration proceeding after elimination of shortcomings.

[ ]  the non-fulfilment of standards and criteria of the field of habilitation proceeding and inauguration proceeding and recommends to the IQS Board of UP not to approve the aligned field of habilitation proceeding and inauguration proceeding.

Reasons why the Group of Fields of Studies did not confirm the fulfilment of the standards and criteria of the field of habilitation proceeding and inauguration proceeding:

**Voting of the Group of Fields of Studies**

Date of vote:

Number of participating members:

Number of non-participating members:

For proposal:

Against the proposal:

Abstention:

Date:

 .........................................................................

 signature of the Chairperson of the Group of Fields of Studies

**IQS Board of UP Opinion**

**IQS Board of UP confirm**

[ ]  the fulfilment of standards and criteria of the field of habilitation proceeding and inauguration proceeding.

[ ]  the fulfilment of standards and criteria of the field of habilitation proceeding and inauguration proceeding after elimination of shortcomings.

[ ]  the non-fulfilment of standards and criteria of the field of habilitation proceeding and inauguration proceeding.

Reasons why the IQS Board of UP did not confirm the fulfilment of the standards and criteria of the field of habilitation proceeding and inauguration proceeding:

**Voting of the IQS Board of UP**

Date of vote:

Number of all members of the IQS Board of UP with the right to vote:

Number of participating members of the IQS Board of UP with the right to vote:

Number of non-participating members of the IQS Board of UP with the right to vote:

For proposal:

Against the proposal:

Abstention:

Assigned IQS code:

Date:

 .................................................................

 signature of the Chairperson of the IQS Board of UP

**FULFILMENT OF THE STANDARDS AND CRITERIA OF THE FIELD OF HABILITATION PROCEEDING AND INAUGURATION PROCEEDING**

**HI 2.1.1.** The institution has habilitation and inauguration proceedings defined by **name and content of the field of study.**

**Statement:** *select an option*

**Comment:**

**HI 2.1.2.** The institution **assigned the field** of habilitation and inauguration proceedings **to one or two** fields of study.

**Statement:** *select an option*

**Comment:**

**HI 2.1.3.** The content of the field of study of the habilitation and the inauguration proceedings is defined **as close as possible to the field(s) of study** to which it is assigned.

**Statement:** *select an option*

**Comment:**

**HI 3.1.1.** The **institution is entitled to design, deliver, and modify third-level study programmes in the field of study** to which the field of habilitation and inauguration proceedings is assigned. If the field of habilitation and inauguration proceedings is assigned to two fields of study, the institution is entitled to design, deliver, and modify third-level study programmes in both fields of study.

**Statement:** *select an option*

**Comment:**

**HI 3.2.1.** The institution is entitled to **deliver a third-level, second-level study programme or a study programme combining first and second- levels** in those field(s) of study to which the field of habilitation and inauguration proceedings is assigned.

**Statement:** *select an option*

**Comment:**

**HI 4.1.1. A group of at least five persons responsible for the development and quality assurance of the field of habilitation and inauguration proceedings** work for the fixed weekly working hours at the institution. Of these persons, at least two are in the position of professor with the title of “professor“, and the other persons are at least in the position of associate professor with the title of “associate professor“.

**Statement:** *select an option*

**Comment:**

**HI 4.1.2.** The persons responsible for the development and quality assurance of the field of habilitation and inauguration proceedings are in their scientific or artistic work **engaged in the field of habilitation and inauguration proceedings** or in a related field.

**Statement:** *select an option*

**Comment:**

**HI 4.1.3.** The persons responsible for the development and quality assurance of the field of habilitation and inauguration proceedings may be responsible for the development and quality assurance of maximum one habilitation and inauguration proceeding at a university in the Slovak Republic. These persons **cannot be responsible for the development and quality assurance of another field of habilitation and inauguration proceedings at another institution in Slovakia.**

**Statement:** *select an option*

**Comment:**

**HI 4.2.1. The composition** of the institution’s or faculty’s **scientific board**, if the habilitation and inauguration proceedings take place at the faculty, is **in compliance with the relevant provisions of generally binding regulations.**

**Statement:** *select an option*

**Comment:**

**HI 4.2.2.** The institution’s or faculty’s **scientific board**, if the habilitation and inauguration proceedings take place at the faculty, **consists of significant experts, including at least one expert with a professional capacity to evaluate the habilitation and inauguration proceeding in the given field of study** to which the field of the habilitation and inauguration proceedings is assigned.

**Statement:** *select an option*

**Comment:**

**HI 5.1.1.** The institution carries out **long-term and continuous research, artistic and other activities** in the field of habilitation and inauguration proceedings. The intensity and extent of these activities correspond to the nature of the proceeding and **their outputs are at internationally significant level**.

Based on the submitted outputs, the Ad Hoc Expert Committee evaluated the level of creative activities for the area of evaluation defined by the field of habilitation proceeding and inauguration proceeding..................................... according to Part V. Methodology for evaluating standards. [[1]](#footnote-1)

**Calculated level of research/artistic/other activities (numerical value): ..................**

**Level of research/artistic/other activities:** *select an option*

**Interval for the overall evaluation of the submitted research/artistic/other activities: A+** (internationally excellent quality): 4.20 – 5.00; **A** (internationally significant quality): 3.20 – 4.19; **A-** (internationally recognized quality): 2.50 – 3.19; **B** (nationally recognized quality): 1.50 – 2.49; **C** (inadequate quality): 0.00 – 1.49.

|  |  |
| --- | --- |
| **Evaluation of the submitted research/artistic/other output** | **Level** |
| 1. *Name of publication of the research/artistic/other output* | *select an option* |
| 2. *Name of publication of the research/artistic/other output* | *select an option* |
| 3. *Name of publication of the research/artistic/other output* | *select an option* |
| 4. *Name of publication of the research/artistic/other output* | *select an option* |
| 5. *Name of publication of the research/artistic/other output* | *select an option* |
| 6. *Name of publication of the research/artistic/other output* | *select an option* |
| 7. *Name of publication of the research/artistic/other output* | *select an option* |
| 8. *Name of publication of the research/artistic/other output* | *select an option* |
| 9. *Name of publication of the research/artistic/other output* | *select an option* |
| 10. *Name of publication of the research/artistic/other output* | *select an option* |
| 11. *Name of publication of the research/artistic/other output* | *select an option* |
| 12. *Name of publication of the research/artistic/other output* | *select an option* |
| 13. *Name of publication of the research/artistic/other output* | *select an option* |
| 14. *Name of publication of the research/artistic/other output* | *select an option* |
| 15. *Name of publication of the research/artistic/other output* | *select an option* |
| 16. *Name of publication of the research/artistic/other output* | *select an option* |
| 17. *Name of publication of the research/artistic/other output* | *select an option* |
| 18. *Name of publication of the research/artistic/other output* | *select an option* |
| 19. *Name of publication of the research/artistic/other output* | *select an option* |
| 20. *Name of publication of the research/artistic/other output* | *select an option* |
| 21. *Name of publication of the research/artistic/other output* | *select an option* |
| 22. *Name of publication of the research/artistic/other output* | *select an option* |
| 23. *Name of publication of the research/artistic/other output* | *select an option* |
| 24. *Name of publication of the research/artistic/other output* | *select an option* |
| 25. *Name of publication of the research/artistic/other output* | *select an option* |

**Statement:** *select an option*

**Comment:**

**HI 5.2.1.** The institution **is entitled to design, deliver, and modify third-level study programmes in at least half of the fields of study in which the institution provides** higher education.

**Statement:** *select an option*

**Comment:**

**HI 5.3.1.** The institution undergoes periodic review of development, research, artistic and other activities in each field of research and, based on the results of the latest review, it has been granted the right to use the designation "research university".

**Statement:** *select an option*

**Comment:**

**HI 6.1.1.** The institution **has adopted criteria** for evaluating the compliance of the conditions for obtaining a scientific-pedagogical title or an artistic-pedagogical title "associate professor". These criteria **comply with generally binding regulations and are accessible to the public.**

**Statement:** *select an option*

**Comment:**

**HI 6.2.1.** The higher education institution criteria **for obtaining the title “associate professor” require that the candidate has a third-level higher education**.

**Statement:** *select an option*

**Comment:**

**HI 6.2.2.** The higher education institution criteria for obtaining the title “associate professor” require that the candidate **has up-to-date scientific-pedagogical or artistic-pedagogical experience at an institution in the given field of study** of the habilitation and inauguration proceedings.

**Statement:** *select an option*

**Comment:**

**HI 6.2.3.** The higher education institution criteria for obtaining the title “associate professor” require that the candidate **has experience and outputs in fulfilling tasks within the higher education to the extent, structure, and quality corresponding to international practices and specificities of the given field of study** of the habilitation and inauguration proceedings, such as: giving lectures, seminars and training on selected chapters, student assessment, supervising and reviewing final theses, designing study materials, consultations for students, arranging excursions and providing professional practice for students, etc.

**Statement:** *select an option*

**Comment:**

**HI 6.2.4.** The higher education institution criteria for obtaining the title “associate professor” require that the candidate **has experience and outputs in the area of research, artistic and other activities**, such as: carrying out development, research, artistic and other activities and publishing the results in the form of scientific works or artistic outputs or performances or other outputs of research, artistic and other activities in number, structure, extent, intensity, the rate of author's contribution and the quality that corresponds to international practices and specificities in the given field of study of the habilitation and inauguration proceedings.

**Statement:** *select an option*

**Comment:**

**HI 6.2.5.** The higher education institution criteria for obtaining the title “associate professor” **require that the candidate demonstrates that he/she is considered a significant scholar** **in professional circles or a significant artist in artistic circles in the given field** of habilitation and inauguration proceedings, mainly by: demonstrating responses to published scientific works or artistic output or performances or other outputs of research, artistic and other activities in number, structure etc. that correspond to international practices and specificities of the given field of study of the habilitation and inauguration proceedings. Autocitations are excluded.

**Statement:** *select an option*

**Comment:**

**HI 6.3.1.** The higher education criteria for obtaining the title “associate professor” **contain measurable indicators with determined minimum threshold values** that serve as one of the bases for evaluating the compliance of the requirements (HI 6.2.4 and HI 6.2.5). The minimum threshold values of measurable indicators are based on international practices in the given field of habilitation and inauguration proceedings.

**Statement:** *select an option*

**Comment:**

**HI 6.3.2.** The higher education criteria for obtaining the title “associate professor” **contain measurable indicators with determined minimum threshold values that meet the requirements of HI 6.5.1.**

**Statement:** *select an option*

**Comment:**

**HI 6.4.1.** The higher education criteria for obtaining the title “associate professor” **ensure that by meeting the requirements the candidate demonstrates that his/her scientific or artistic work makes up comprehensive scientific or artistic work in the given field of study of the habilitation and inauguration proceedings.**

**Statement:** *select an option*

**Comment:**

**HI 6.4.2.** The higher education criteria for obtaining the title “associate professor” **ensure that the candidate meets other qualification requirements, if required by the nature of the given field of study** of the habilitation and inauguration proceedings.

**Statement:** *select an option*

**Comment:**

**HI 6.5.1.** The level of higher education institution criteria for obtaining the title “associate professor” **ensures that the level of extent, intensity, quality, and recognition of scientific, artistic, and other activities required of the candidates** for the title “associate professor” in the given field of study of the habilitation and inauguration proceedings is at least of the same level as before the date these standards came into force. If the institution has not yet been granted the right to carry out habilitation and inauguration proceedings in the given field of study, then as a benchmark to meet this requirement, the institution will use criteria of another higher education institution in the Slovak Republic in the given field of study of the habilitation and inauguration proceedings or a related field of study of the habilitation and inauguration proceedings or another similar field assigned to the given field of study.

**Statement:** *select an option*

**Comment:**

**HI 7.1.1.** The institution **has adopted criteria** for evaluating the compliance of the conditions for obtaining a scientific-pedagogical title or an artistic-pedagogical title "professor". These criteria **comply with generally binding regulations and are accessible to the public.**

**Statement:** *select an option*

**Comment:**

**HI 7.2.1.** The higher education institution criteria for obtaining the title “professor” require that the candidate **has the scientific-pedagogical degree or an artistic-pedagogical degree “associate professor”.**

**Statement:** *select an option*

**Comment:**

**HI 7.3.1.** The higher education institution criteria for obtaining the title “professor” require that the candidate **has up-to-date scientific-pedagogical or artistic-pedagogical experience at an institution in the relevant field of study** of the habilitation and inauguration proceedings.

**Statement:** *select an option*

**Comment:**

**HI 7.3.2.** The higher education criteria for obtaining the title “professor” require that the candidate **has experience and outputs in fulfilling tasks within the higher education to the extent, structure, and quality corresponding to international practices and specificities of the given field of study** of the habilitation and inauguration proceedings, such as: giving lectures, seminars and training, student assessment including final exams, supervising and reviewing final theses, designing study materials.

**Statement:** *select an option*

**Comment:**

**HI 7.3.3.**  The higher education institution criteria for obtaining the title “professor” require that the candidate **has experience and outputs in the area of research, artistic and other activities**, such as: carrying out development, research, artistic and other activities and publishing the results in the form of scientific works or artistic outputs or performances or other outputs of research, artistic and other activities in number, structure, extent, intensity, rate of author's contribution and quality that corresponds to international practices and specificities in the given field of study of the habilitation and inauguration proceedings.

**Statement:** *select an option*

**Comment:**

**HI 7.4.1.** The higher education institution criteria for obtaining the title “professor” **ensure that by meeting the requirements the candidate demonstrates that he/she has contributed to the development of the relevant field of study** of the habilitation and inauguration proceedings by setting up a scientific or an art school or an original generally recognized group following his/her outputs of research, artistic and other activities. The candidate demonstrates these criteria mainly by the fact that he/she has trained at least one PhD. and that he/she has at least one PhD candidate after a dissertation examination in the field of study to which the field of the habilitation and inauguration proceedings is assigned.

**Statement:** *select an option*

**Comment:**

**HI 7.4.2.** The higher education institution criteria for obtaining the title “professor” **ensure that the candidate demonstrates that he/she is considered a significant schollar or a significant artist in the given field of study** of the habilitation and inauguration proceedings, mainly by: demonstration of responses to published scientific works or artistic output or performances or other outputs of research, artistic and other activities in number, structure etc. that correspond to international practices and specificities of the given field of study of the habilitation and inauguration proceedings. These include responses from abroad. Autocitations are excluded.

**Statement:** *select an option*

**Comment:**

**HI 7.4.3.** The higher education institution criteria for obtaining the title “professor” **require written references to the candidate's outputs from at least three eminent foreign experts from three different countries outside Slovakia.** These references will confirm that the candidate meets the requirements for the position of a professor in an international context.

**Statement:** *select an option*

**Comment:**

**HI 7.4.4.** The higher education institution criteria for obtaining the title “professor” **ensure that the candidate meets other qualification requirements, if required by the nature of the given field of study** of the habilitation and inauguration proceedings.

**Statement:** *select an option*

**Comment:**

**HI 7.5.1.** The higher education institution criteria for obtaining the title “professor” **contain measurable indicators with determined minimum threshold values. The indicators serve as one of the bases for evaluating the fulfilment of requirements** (HI 7.3.2 and HI 7.4.2). **The minimum threshold values of measurable indicators are based on international practices in the given field of study** of the habilitation and inauguration proceedings.

**Statement:** *select an option*

**Comment:**

**HI 7.5.2.** The higher education institution criteria for obtaining the title “professor” **contain measurable indicators with determined minimum threshold values that meet the requirements of HI 7.6.1.**

**Statement:** *select an option*

**Comment:**

**HI 7.6.1.** The level of higher education institution criteria for obtaining the title “professor” **ensures that the level of extent, intensity, quality, and recognition of scientific, artistic, and other activities required of the candidates** for the title “professor” in the given field of study ofthe habilitation and inauguration proceedings is **at least of the same level as before the date these standards came into force**. If the institution has not yet been granted the rightto carry out habilitation and inauguration proceedings in the given field of study, then asa benchmark to meet this requirement, the institution will use criteria of another highereducation institution in the Slovak Republic in the given field of study of the habilitationand inauguration proceedings or a related field of study of the habilitation and inaugurationproceedings or another similar field assigned to the given field of study.

**Statement:** *select an option*

**Comment:**

**HI 8.1.1.** The institution **has adopted criteria** for habilitation and inauguration proceedings. These criteria **comply with generally binding regulations and are accessible to the public.**

**Statement:** *select an option*

**Comment:**

**HI 8.2.1.** The rules and procedures of the habilitation and inauguration proceedings **ensure transparency and openness of the proceeding for all candidates**. The rules and procedures are known to the candidates in advance.

**Statement:** *select an option*

**Comment:**

**HI 8.2.2.** The rules and procedures of the habilitation and inauguration proceedings **ensure that proven plagiarism of a candidate is a reason for not awarding the title** of “associate professor” or “professor”.

**Statement:** *select an option*

**Comment:**

**HI 8.2.3.** The rules and procedures of the habilitation and inauguration proceedings **ensure impartial, objective, professional, consistent, and unambiguous verification of a candidate´s compliance with the given requirements and criteria.**

**Statement:** *select an option*

**Comment:**

**HI 8.2.4.** The rules and procedures of the habilitation and inauguration proceedings **ensure that the selection and composition of opponents of habilitation and inauguration proceedings, the members of a habilitation committee, and inauguration committee comply with generally binding regulations**. The selection criteria for these persons ensure that they are scientifically or artistically engaged in the relevant field of study of the habilitation and inauguration proceedings or, in justified cases, in the field of science, technology, and art according to the candidate's research, artistic and other activities.

**Statement:** *select an option*

**Comment:**

**HI 8.3.1. The higher education institution shall in its procedures consistently and without exception adhere to generally binding regulations, valid and effective rules and procedures of the habilitation and inauguration proceedings, and its criteria** for the evaluation of the fulfilment of the conditions for obtaining the scientific-pedagogical or artistic -pedagogical title “associate professor” and the scientific-pedagogical or artistic pedagogical title “professor”, which were the basis for decision-making in the previous accreditation proceeding for the given habilitation and inauguration proceedings.

**Statement:** *select an option*

**Comment:**

**Final recommendations:**

1. [*https://saavs.sk/wp-content/uploads/2021/01/Methodology-for-the-Evaluation-of-Standards.pdf*](https://saavs.sk/wp-content/uploads/2021/01/Methodology-for-the-Evaluation-of-Standards.pdf) *(*p. 37-45) [↑](#footnote-ref-1)